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Improving the root system of apple rootstocks based on *Agrobacterium rhizogenes***-mediated transformation system**

Yushuang Guo¹, Zijun Wang¹, Cecilia H. Deng², Jianjun Dong¹, Shiqi Shu¹, Yi Wang¹, Zhenhai Han^{1*} and Wei Li^{1*}

¹ *College of Horticulture, China Agricultural University, Beijing 100193, China*

² *The New Zealand Institute for Plant and Food Research Limited, Auckland 1025, New Zealand*

* Corresponding authors, E-mail: rschan@cau.edu.cn; liwei0522898@163.com

Abstract

Dwarfing and close planting have become the general trend of the apple industry, but the rooting difficulty of dwarfing rootstocks has seriously limited efficient breeding. *Agrobacterium rhizogenes* can infect plants and induce the formation of hairy roots. In this study, the optimal *A. rhizogenes*-mediated transformation system was explored for three apple rootstocks: 'M9', 'M26', and *Malus xiaojinensis*. The results reveal that the best transformation concentration for all three rootstocks is OD₆₀₀ of 0.5. 'M9' and 'M26' exhibited rooting rates of 86.08% and 89.96%, respectively, upon transformation with *A. rhizogenes*strain K599. In contrast, *M. xiaojinensis* attained a rooting rate of 90.97% when strain MSU440 was introduced. Furthermore, a *Cytokinin Oxidation/Dehydrogenase* (*CKX*) gene was demonstrated to significantly increase the root length and lateral root density of hairy roots. These results have the potential to enhance the rooting ability of dwarfing rootstocks and contribute to the development of more efficient and productive orchard management strategies.

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Introduction

Apple (*Malus domestica* Borkh.) breeding presents numerous challenges, including a protracted breeding cycle and a scarcity of germplasm resources, impeding progress in the field. Furthermore, the extended juvenile phase, self-incompatibility, and high genetic heterozygosity are considerable obstacles to the conventional breeding methods for dwarfing apple rootstocks^{[[1](#page-5-0)[,2\]](#page-5-1)}. Recently, the advent of plant genetic engineering has provided a promising avenue for advancing apple breeding. Among the available techniques, *Agrobacterium*mediated transformation has emerged as the preeminent and well-established approach^{[[3](#page-5-2)]}. This technique involves using two distinguished types of *Agrobacterium*: *Agrobacterium tumefaciens* and *Agrobacterium rhizogenes*, each harboring the Ti plasmid and Ri plasmid, respectively^{[[4\]](#page-5-3)}. The Ti/Ri plasmids of *Agrobacterium* encompass two primary functional regions: the T-DNA region and the Vir region. Through interaction between the *Vir* gene and the T-DNA boundary, the T-DNA undergoes modification and facilitates the formation of a protein complex. Subsequently, this complex gains entry into the cell through the cell membrane. Ultimately, the T-DNA integrates into the nuclear genome of the recipient plants^{[\[5](#page-5-4)]}.

Transformation of woody plants through *A. tumefaciens* remains time-consuming and inefficient^{[[6\]](#page-5-5)}, while an alternative approach using *A. rhizogenes* offers several advantages in this context[\[7\]](#page-5-6) . The T-DNA of *A. rhizogenes* consists of two noncontiguous DNA fragments: T_L-DNA and T_R-DNA. Notably, T_R-DNA encompasses certain genes that exhibit homology to Ti plasmids, including *iaaM*, *iaaH*, and genes encoding opine synthesis (Ops), while T_L-DNA assumes an essential role in hairy root formation $^{[8,9]}$ $^{[8,9]}$ $^{[8,9]}$ $^{[8,9]}$ $^{[8,9]}$. The insertion of T_L-DNA has revealed the

presence of four loci that are closely associated with hairy root development. These loci, known as *rol A*, *B*, *C*, and *D*, exhibit no homology to Ti plasmids $^{[10,11]}$ $^{[10,11]}$ $^{[10,11]}$ $^{[10,11]}$. The T_L-DNA fragment appears to enhance rooting ability by potentially modulating cell sensitivity to auxin rather than directly promoting auxin accumulation^{[\[12\]](#page-5-11)}. Consequently, the genetically modified hairy roots generated through this transformation technique can thrive even in the absence of external hormonal supplementation[[13](#page-5-12)].

Cutting propagation is the primary method for producing apple rootstocks. The ability to form adventitious roots is crucial for successful cutting propagation. However, apple rootstocks like 'M9' often face challenges with rooting and generally do not form roots without the application of exogenous hormones^{[\[14\]](#page-5-13)}. Auxin is a crucial plant hormone in the formation of adventitious roots. High levels of auxin are required during the induction phase of adventitious roots[\[15,](#page-5-14)[16\]](#page-5-15) . *A. rhizogenes*, which contains *rol* genes, can increase the auxin content in rootstocks, thereby enhancing their rooting ability. Interactions between cytokinin and auxin are known to govern the development of adventitious roots, with a competitive relationship observed between these two hormone classes. Elevated concentrations of cytokinin impede the expression of genes associated with auxin synthesis and transport, thereby reducing endogenous auxin levels and inhibiting the formation of adventitious roots^{[\[17\]](#page-5-16)}. In the research of rootstocks, Li et al. have reported that modulating auxin levels while decreasing cytokinin concentrations in rootstocks can confer beneficial traits such as enhancing grafting success rates and improving roo-ting rate and biomass^{[[18](#page-5-17)]}. In Arabidopsis, cytokinin signaling primarily relies on histidine kinases (AHKs), histidine phosphate transfer proteins (AHPs), response regulatory factors (ARRs),

and Cytokinin Oxidation/Dehydrogenase protein (CKX)[\[19,](#page-5-18)[20\]](#page-5-19). The *CKX* gene encodes CKX enzymes, and their expression is characterized by tissue-specific and developmental dependencies[[21](#page-5-20),[22\]](#page-5-21) . Introduction of the *CKX* gene from *Arabidopsis thaliana* (*AtCKX*) into tobacco has been shown to increase the number of differentiated cells in root meristems and primary roots of transgenic tobacco^{[\[23\]](#page-5-22)}. Activation of the *AtCKX* gene in the lateral root primordium has also been found to promote lateral root formation^{[[24](#page-5-23)]}.

In this study, the *A. rhizogenes* transformation system was successfully established to facilitate the induction of adventitious roots in apple dwarf rootstocks. Remarkably, the introduction of the *AtCKX2* gene into *A. rhizogenes* resulted in the production of dense and robust adventitious roots. These findings hold significant promise in advancing our understanding of adventitious root formation and provide valuable insights to enhance the cultivation of dwarf and densely planted apple orchards.

Materials and methods

Plant materials

'M9', 'M26', and *Malus xiaojinensis* plantlets were subjected to a controlled tissue culture environment with a temperature of 25 ± 1 °C and a 16-h light/8-h dark photoperiod. Subculturing of the plantlets was performed at regular intervals of 30 d, ensuring the sustained growth and development of the plants under optimal conditions.

Preparation of *Agrobacterium rhizogenes* **solution**

The *35S::GUS* and *35S::AtCKX2*[[18](#page-5-17)] sequences were inserted into the pCAMBIA1305.1 plasmid, resulting in the construction of a recombinant vector. The constructed vector was introduced into two strains of *A. rhizogenes*, namely K599 and MSU440. Before transformation, the *A. rhizogenes* were stored at a temperature of −80 °C. Subsequently, they were streaked onto tryptone-yeast extract (TY) solid medium plates and incubated in darkness at 28 °C for 2 d. Single colonies were selected from the plate and cultured overnight in 2 mL of TY liquid medium at 28 °C and 180 rpm. Subsequently, 1 mL of the bacterial culture was transferred into 50 mL of TY liquid medium, followed by cultivation at 28 °C and 180 rpm until OD $_{600}$ = 0.6 – 0.8. After centrifugation at 5,000 rpm for 10 min, the resulting pellet was resuspended to achieve an $OD₆₀₀$ value ranging from 0.4 to 0.6.

A. rhizogenes **infected the stem segment of apple stock**

The terminal buds (~1 cm) from 30-day-old plants of 'M9', 'M26', and *M. xiaojinensis* were selected for infection. These tips were submerged in a bacterial culture and exposed to cultivation conditions of 28 °C and 180 rpm for 20 min. After excess bacterial culture was removed using sterile filter paper, the shoot tips were transferred to a solid co-culture medium and maintained for three days and moved to a rooting medium containing 250 mg/L Cef and 250 mg/L Tim.

GUS staining of transgenic materials

After successful rooting, plantlets of 'M9', 'M26', and *M. xiaojinensis* were subjected to GUS staining to visualize the expression of the GUS reporter gene. The GUS staining solution comprised 10 mM EDTA, 100 mM $\textsf{NaH}_2\textsf{PO}_4\textsf{·H}_2\textsf{O}$, 0.5 mM K_4 Fe(CN) $_6$ ·3H $_2$ O, 0.1% Triton X-100, and 1 mM X-gluc. Plantlets

were fully immersed in this solution and incubated for 12–16 h at 37 °C. Subsequently, the plantlets were treated with 95% ethanol at room temperature until complete decolorization. Staining results were observed and recorded.

Determination of hormone content

The shoots and roots of transformed 'M26' plants were harvested 80 d after transferring to the rooting media. Untransformed 'M26' plants were employed as controls. Fresh tissues (0.5 g) were carefully ground into a fine powder using liquid nitrogen. Three biological replicates were collected and used for hormone measurements for both the transformed and control plants. Hormone levels were evaluated using Enzyme-linked Immunosorbent Assays (ELISA)^{[\[25\]](#page-5-24)}.

Results

Optimal transformation system of *Agrobacterium rhizogenes* **for apple rootstocks**

'M9' and 'M26' exhibited poor rooting ability, whereas *Malus xiaojinensis* showed better rooting capability[\[26\]](#page-5-25) . *A. rhizogenes*mediated transformation of 'M9', 'M26', and *M. xiaojinensis* was first tested on hormone-free 1/2 MS media and it was found that shoot tips of all three cultivars exhibited the capacity to induce adventitious roots. GUS staining was conducted on the *A. rhizogenes*-induced roots of 'M9', 'M26', and *M. xiaojinensis* plantlets. The staining results revealed a blue coloration in the roots, indicating successful integration and abundant expression of the *GUS* gene within the plant genomes ([Fig. 1\)](#page-1-0).

Compared with untransformed plants cultured under hormone-free conditions, the transformed plant materials exhibited significantly higher rooting rates. Specifically, untransformed 'M9' plants displayed a modest rooting rate of 3.33%, while the rate increased significantly to 86.08% with *A. rhizogenes* strain K599 transformation. Likewise, the rooting rates of 'M26' and *M. xiaojinensis* showed notable increases compared with untransformed controls [\(Table 1](#page-2-0)).

Interestingly, the efficiency of root induction varied between the two *A. rhizogenes* strains utilized in this study. Strain K599 demonstrated a more robust capacity to induce adventitious roots than strain MSU440 for 'M9' and 'M26' rootstocks, with the induced rooting rate observed in the order of 'M26' > 'M9' > *M. xiaojinensis*. Notably, upon exposure to K599-mediated

Fig. 1 GUS staining results of (a) 'M9', and (b) *Malus xiaojinensis* after *Agrobacterium rhizogenes* transformation. The seedlings were grown for 2 months. Scale bar = 1 cm.

Table 1. Rooting rate of *Agrobacterium rhizogenes-*transformed rootstocks. The rooting rate was calculated 80 d after transferring to rooting medium.

Rootstocks	Strains	Total number of infected plants	Rooting rate (%)
'M9'	Untransformed	60	3.33 ± 1.67
	K599	134	86.08 ± 5.61 **
	MSU440	124	$56.68 \pm 7.91**$
'M ₂₆ '	Untransformed	60	11.67 ± 1.67
	K599	142	89.96 ± 4.77 **
	MSU440	146	$76.07 \pm 2.79***$
Malus xiaojinensis Untransformed		60	13.33 ± 1.67
	K599	158	$79.15 \pm 5.58***$
	MSU440	213	90.97 ± 1.97 ^{**}

The data are means \pm SEM calculated based on three biological replicates. The total number of infected plants represents the combined number of plants from three replicates. Asterisks denote significant difference determined by using a two-tailed Student's *t* test (**p* < 0.05, ***p* < 0.01). The rooting rate $(*)$ = the number of rooted plants/the total number of infected plants \times 100%.

transformation, 'M9' and 'M26' displayed impressive rooting rates of 86.08% and 89.96%, respectively. Conversely, strain MSU440 exhibited superior performance for *M. xiaojinensis*, achieving a rooting rate of 90.97%, compared with a rate of 79.15% with strain K599 [\(Table 1](#page-2-0)). These findings highlight the strain-specific variations in the ability to induce roots. Specifically, K599 appears better suited for rootstocks with challenging rooting characteristics such as 'M9' and 'M26', while MSU440 is more suitable for *M. xiaojinensis*, a rootstock showing relatively easier rooting tendencies.

The efficiency of *A. rhizogenes*-mediated transformation was found to be intricately linked to the concentration of the bacterial growth. At three different concentration levels (0.4, 0.5, and 0.6), the rooting rates of all three rootstock materials exhibited a similar pattern: an initial increase with rising concentrations of bacteria, followed by a subsequent decline. The optimal concentration for achieving a higher rooting rate was determined to be $OD_{600} = 0.5$ [\(Table 2](#page-2-1)).

Root growth after *A. rhizogenes* **transformation**

The influence of *A. rhizogenes* transformation on root growth was thoroughly evaluated by quantifying the number and length of roots under optimal transformation conditions as described above. The control group comprised untransformed plants induced by 0.5 mg/L IBA, while the experimental group consisted of transformed plants from 'M9', 'M26', and *M. xiaojinensis* rootstock materials. Remarkably, *A. rhizogenes*-mediated transformation increased the number of lateral and adventitious roots across all three rootstock materials ([Table 3\)](#page-3-0).

Comparative analysis revealed distinct disparities in the effects of MSU440 and K599 strains on lateral root development. 'M9' plants transformed with strain MSU440 exhibited a significant increase, inducing a 4.43-fold increase in lateral roots, while plants transformed with strain K599 demonstrated a 7.82-fold increase in lateral root formation compared with untransformed plants [\(Table 3](#page-3-0)). These findings suggest that the two strains possess differential capabilities in promoting lateral root development for the 'M9' rootstock, with K599 potentially exhibiting superior performance in terms of lateral root initiation and growth.

The optimal strain for adventitious root formation is the same for both 'M26' and *M. xiaojinensis*. In 'M26', the number of

Table 2. Rooting rate of transformation with three levels of bacterial culture.

Rootstocks	Bacterial concentration (OD ₆₀₀)	Total number of infected plants	Number of rooted plants	Rootina rate $(\%)$
'M9'	0.4	150	115	76.67
	0.5	162	141	87.04
	0.6	104	68	65.38
'M ₂₆ '	0.4	137	105	76.64
	0.5	165	139	84.24
	0.6	124	99	79.84
Malus	0.4	138	114	82.61
xiaojinensis	0.5	110	101	91.82
	0.6	123	103	83.74

K599 was used to transform 'M9' and 'M26', whereas MSU440 was employed for the transformation of *Malus xiaojinensis*. The rooting rate was calculated 80 d after transferring to rooting medium. The rooting rate $%$ = the number of rooted plants/the total number of infected plants \times 100%.

adventitious roots increased by 1.19-fold with MSU440 compared with the untransformed control, while in *M. xiaojinensis*, it increased by 1.83-fold with MSU440. In contrast, K599 resulted in a 2.06-fold increase in adventitious roots for 'M9'. Interestingly, the transformation process exerted an inhibitory effect on the elongation of adventitious roots in 'M26' and *M. xiaojinensis*. Additionally, it was observed that the K599 strain exerted a stronger inhibitory influence on adventitious root length than MSU440 ([Table 3\)](#page-3-0).

Overexpression of *AtCKX2* **gene promotes adventitious root growth and development**

Methods to enhance the performance of adventitious roots were further investigated by introducing a *35S::AtCKX2* gene into *A. rhizogenes*. Transgenic roots lacking the *35S::AtCKX2* gene were utilized as a control group to assess the impact of overexpression of *AtCKX2*.

While all three rootstocks overexpressing the *AtCKX2* gene exhibited comparable rooting rates to the control, the adventitious root length of 'M9', 'M26', and *M. xiaojinensis* plants transformed with *35S::AtCKX2* gene showed a significant increase by 1.44, 1.54, and 1.24 times, respectively, compared with the controls [\(Table 4](#page-3-1)). These findings reflect the promoting effect of the *AtCKX2* gene on adventitious root development.

Furthermore, overexpression of the *AtCKX2* gene increases the number of lateral and adventitious roots in 'M9', 'M26', and *M. xiaojinensis* compared with the controls. Overexpressing *AtCKX2* in 'M9' resulted in an additional 1.69 adventitious roots per plant, with increases of 2.56 and 1.08 adventitious roots per plant observed in 'M26' and *M. xiaojinensis*, respectively, compared to the control group. Specifically, overexpression of *AtCKX2* in 'M26' produced 10.65 more lateral roots per plant, an increment of 7.04 and 8.32 lateral roots per plant in *M. xiaojinensis* and 'M9', respectively, compared with the controls ([Table 4\)](#page-3-1).

Effect of overexpressing *AtCKX2* **gene on plant hormone content**

The hormone contents, specifically indole-3-acetic acid (IAA) and zeatin riboside (ZR), were determined in the shoots and roots of 'M26' rootstock plants transformed by *A. rhizogenes*, as well as control plants without transformation. Noteworthy observations were made regarding the variation in auxin and cytokinin levels among different treatments.

The growth of GUS-positive roots was evaluated 80 d after transferring to root medium. The data are means ± SEM, asterisks denote significant difference determined by using a two-tailed Student's *t* test (**p* < 0.05, ***p* < 0.01).

The data are the means ± SEM, asterisks denote significant difference determined by using a two-tailed Student's *t* test (**p* < 0.05, ***p* < 0.01). The rooting rate $(%)$ = the number of rooted plants/the total number of infected plants \times 100%.

Substantial differences in auxin content were observed in the roots across various treatments, with minor variations detected in shoots. Conversely, significant differences in cytokinin levels were found between treatments in both the shoot and root tissues. A remarkable increase in auxin and cytokinin levels was observed in the roots following *A. rhizogenes* transformation. However, when the *AtCKX2* gene is overexpressed, the auxin and cytokinin content in the roots decreased to approximately the same level as that observed in untransformed plants ([Fig. 2](#page-4-0)).

Interestingly, no significant difference was observed between the two strains regarding their capacity to promote auxin accumulation in root tissues. However, a significant disparity was noted in terms of their impact on cytokinin accumulation in both root and shoot tissues ([Fig. 2](#page-4-0)). These findings highlight the distinct effects of the two strains on the regulation of cytokinin levels.

Discussion

In this study, an *Agrobacterium rhizogenes* transformation system has been successfully established for apple dwarf rootstocks, including 'M9', 'M26', and *Malus xiaojinensis*. This system has led to significant enhancements in transgenic root induction compared with untransformed plants. *A. rhizogenes*, renowned for its rapid transformation cycle, demonstrates excep-tional efficiency in triggering adventitious root formation^{[\[27\]](#page-5-26)}. Typically, these roots emerge at the stem segment's base within 1–2 weeks post-transformation, attaining full development within 2–3 months. The successful generation of stable transgenic plants relies on two crucial processes: *Agrobacterium*-mediated infection of explants and subsequent regeneration of transformed materials. Notably, the choice of *A. rhizogenes* strains, their concentration, and the selection of suitable explant materials directly affect the overall transformation efficiency^{[[28\]](#page-5-27)}.

The ability of different *Agrobacterium* strains to infect the host plant significantly affects rooting efficiency. In the present study, transformation of the 'M9' rootstock using the K599 strain resulted in an impressive rooting rate of 86.08%, whereas the MSU440 strain achieved a lower rate of 56.68%([Table 1](#page-2-0)). These findings align with prior research that utilized *A. rhizogenes* strains ARA4, MSU440, C58C1, and K599 for pigeon pea transformation and reported that the K599 strain exhibited a rooting rate of 30%, while the ARA4 strain showed a rate of 8%^{[[29](#page-5-28)]}. These observations highlight the significant differences between *Agrobacterium* strains in rooting efficiency, emphasizing the importance of strain-specific characteristics in successfully inducing adventitious roots.

The infectivity of different *Agrobacterium* strains may lead to diverse responses in plants. The rooting capacity of the three rootstocks in this study follows this hierarchy: *M. xiaojinensis* > 'M26' > 'M9'^{[\[26\]](#page-5-25)}. The K599 strain showed a superior rooting ability compared with the MSU440 strain for 'M9' and 'M26', which are known for their relatively poor rooting capabilities. Conversely, the MSU440 strain exhibited better efficacy in promoting rooting in *M. xiaojinensis*. These observations prompt speculation that the K599 strain may possess a greater ability to enhance auxin levels or increase cellular sensitivity to auxin in the plants after transformation. However, it is important to note that for *M. xiaojinensis*, which inherently possesses easier rooting potential, the K599 strain exhibited a lower rooting rate than the MSU440 strain, suggesting that the concentration of auxin might exceed the optimal threshold for rooting.

Improper concentrations of *A. rhizogenes* may impair the transformation efficiency. Inadequate bacterial concentration leads to fewer interactions between *A. rhizogenes* and the wounded explants, which may result in reduced contact and lower transformation rates. Conversely, excessively high bacterial concentration might have negative effects on the explants[[30](#page-5-29)] . In this study, an optimal *A. rhizogenes* concentration of $OD_{600} = 0.5$ was identified for the three rootstocks. High

data are the means ± SD calculated from three biological replicates. Different letters denote significant difference within each tissue type determined by one-way ANOVA (Tukey's test; *p* < 0.05).

rooting rates of 87.04%, 84.24%, and 91.82% were achieved for 'M9', 'M26', and *M. xiaojinensis*, respectively, under this optimal concentration ([Table 2](#page-2-1)). These findings align with earlier investigations, which also underscored the significance of maintaining an *Agrobacterium* concentration of $OD_{600} = 0.5$ as optimal for apple materials such as 'GL-3' and 'Royal Gala'^{[\[6](#page-5-5),[31](#page-5-30)]}.

The root phenotype induced by *A. rhizogenes* transformation shares similarities with roots overproducing auxin, characte-rized by shorter length and increased formation of root hairs^{[\[32\]](#page-5-31)}. The co-introduction of *iaaM* and *CKX* genes has been demon-strated to enhance root length and biomass^{[\[18\]](#page-5-17)}. Wang et al. showed that initiating *AtCKX3* expression using a root-specific *PYK10* promoter and a constitutive *35S* promoter significantly improved the root development of *CKX* transgenic tobacco compared with the control plants^{[[33](#page-5-32)]}. In the present study, the introduction of the *AtCKX2* gene resulted in increased root length and number across the three apple rootstock varieties.

Analysis of hormone content revealed no significant variation in auxin levels in shoots following transformation with K599 and MSU440 [\(Fig. 2](#page-4-0)). Auxin synthesis primarily occurs in young leaves, and buds, with subsequent transport from shoots to roots through vascular tissue^{[\[34\]](#page-5-33)}. Therefore, an increase in auxin content in roots after transformation may not directly affect auxin levels in shoots. In contrast, cytokinin content after the *Agrobacterium* infection exhibited substantial changes in shoots, showing a similar trend to that observed in roots([Fig. 2](#page-4-0)). This is likely attributed to the fact that cytokinin synthesis primarily occurs in the root tip, followed by accumu-lation and subsequent transport to the shoot^{[[35](#page-5-34)]}.

IAA is pivotal in promoting adventitious root formation during the induction phase. However, elevated concentrations of IAA can impede root formation in later stages. *A. rhizogenes* infection led to heightened auxin levels in transgenic roots compared with untransformed roots, thereby enhancing adventitious rooting rates, and inhibiting root elongation. Moreover, the inhibitory impact of root length and increased cytokinin contents in *A. rhizogenes*-infected transgenic roots were diminished upon overexpressing the *AtCKX2* gene. As the cytokinin level decreased and hormone interactions occurred, the auxin level also decreased to a level similar to that of untransformed

roots [\(Fig. 2](#page-4-0)). This regulatory mechanism helped to avoid the inhibitory effects of excessive auxin on root length.

Conclusions

The present discoveries enrich the comprehension of molecular mechanisms governing root development and propose the potential of employing the *A. rhizogenes* transformation system for root-related research. This established system offers a convenient and swift approach for examining gene functionality and investigating signal transmission between roots and aboveground components.

Author contributions

The authors confirm contribution to the paper as follows: study conception and design: Han Z, Li W; performing the experiments and date analysis: Guo Y, Wang Z, Shu S, Dong J; writing and editing the manuscript: Li W, Han Z, Guo Y, Wang Z, Deng CH, Dong J, Wang Y. All authors reviewed the results and approved the final version of the manuscript.

Data availability

All data generated or analyzed during this study are included in this published article.

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Conflict of interest

The authors declare that they have no conflict of interest.

*Agrobacterium rhizogenes*transformation for apple

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